

DEPARTMENT OF STATE TREASURER UNCLAIMED PROPERTY PROGRAM
NEGATIVE REPORT OF UNCLAIMED PROPERTY FOR HOLDING PERIOD ENDING:
December 31, 2010 (Life Insurers Only) *June 30, 2010 (All Other Holders)*
NEGATIVE REPORTS ARE NOT REQUIRED IN NORTH CAROLINA
PLEASE TYPE OR PRINT IN BLACK INK

Holder Name _____

Mailing Address _____

City _____ State _____ Zip Code _____

Telephone # (____) _____ FIN# _____

State of Incorporation _____ Date of Incorporation _____

Please indicate the primary business of holder _____

If you are a successor company, list the names and last known addresses of all previous holders for the last 5 years.

Previous Holder(s) _____

If you have changed your name, FIN or state of incorporation during the last 5 years, list the prior information below.

Previous Name(s), FIN (s), State of Incorporation and Date(s) _____

If your report includes property held by subsidiary companies, list the name(s) and FIN(s) of those companies.

Total Number of Employees, including Subs: _____ Total Number of NC Employees, including Subs: _____

Is this the initial report of unclaimed property for holder? YES _____ NO _____

Types of Property Reviewed
(See detailed listing on back)

List Types of Records Reviewed
(Bank Reconciliations, Journal Entries, General Ledger, Trial Balances, Logs, etc.)

Wages/Payroll _____

Accounts Payable/Vendor Checks _____

Other Checks
(official checks, overpayments, refunds, etc.) _____

Credit Balances _____

Gift Certificates _____

Layaways (deposits and payments) _____

Securities (stock, dividends, etc.) _____

Account Balances (checking, savings, etc.) _____

Insurance (proceeds, benefits, payments, etc.) _____

Court Deposits _____

Utilities (deposits, refunds, etc.) _____

Trust, Investment and Escrow Accounts _____

Other _____

Attach additional listings if necessary. The above list includes, but is not limited to, those items that are covered by the North Carolina Escheat and Abandoned Property Law (G.S. 116B).

To confirm the receipt and posting of this Negative Report of Unclaimed Property, an acknowledgement will be mailed to you within four weeks of our receipt. If you have not received such acknowledgement, please contact our office at the number below. **This report is not valid without our acknowledgement and it is your responsibility to ensure you receive and maintain this acknowledgement for future compliance purposes. Incomplete reports will not be accepted and will be returned for completion.**

CERTIFICATION AND VERIFICATION

As the person authorized to bind _____, I certify that the above property type(s) and record(s)

(Name of Holder)

have been reviewed for the corresponding reporting period noted above and we are not holding on our records or have removed from our records property subject to NC G.S. 116B. I have read and completed the information requested on this form.

Name (Please Print)

Title

Date

Signature _____

Class Code		Dormant Period*	Class Code		Dormant Period*
ACCOUNT BALANCES DUE			MINERAL PROCEEDS & MINERAL INTERESTS		
AC01	Checking Accounts	5	MI01	Net Revenue Interest	5
AC02	Savings Accounts	5	MI02	Royalties	5
AC03	Matured CD or Savings Cert	10	MI03	Overriding Royalties	5
AC04	Christmas Club Funds	5	MI04	Production Payments	5
AC05	Money-Deposit to Secure Funds	5	MI05	Working Interest	5
AC06	Security Deposits	5	MI06	Bonuses	5
AC07	Unidentified Deposits	5	MI07	Delay Rentals	5
AC08	Suspense Accounts	5	MI08	Shut-In Royalties	5
AC09	Individual Retirement Accounts	3	MI09	Minimum Royalties	5
AC99	Aggregate Account Balances < \$50		MI99	Aggregate Mineral Interests < \$50	5
UNCASHED CHECKS			MISCELLANEOUS CHECKS & INTANGIBLE PERSONAL PROPERTY		
CK01	Cashier's Checks	7	MS01	Wages, Payroll, Salary	2
CK02	Certified Checks	7	MS02	Commissions	2
CK03	Registered Checks	7	MS03	Workers' Compensation Benefits	5
CK04	Treasurer's Checks	5	MS04	Payment for Goods & Services	5
CK05	Drafts	5	MS05	Customer Overpayments	^3/5
CK06	Warrants	5	MS06	Unidentified Remittances	^3/5
CK07	Money Orders	7	MS07	Unrefunded Overcharges	^3/5
CK08	Traveler's Checks	15	MS08	Accounts Payable	5
CK09	Foreign Exchange Checks	5	MS09	Credit Balances	^3/5
CK10	Expense Checks	5	MS10	Discounts Due	^3/5
CK11	Pension Checks	5	MS11	Refunds Due	^3/5
CK12	Credit Checks or Memos	5	MS12	Unredeemed Gift Certificates	***3
CK13	Vendor Checks	5	MS13	Unclaimed Loan Collateral	5
CK14	Checks Written Off to Income	5	MS14	Pension & Profit Sharing Plans (IRA, KEOGH)	3
CK15	Other Outstanding Official Checks	5	MS15	Dissolution or Liquidation	1
CK16	CD Interest Checks	5	MS16	Misc Outstanding Checks	5
CK 99	Aggregate Uncashed Checks < \$50		MS17	Misc Intangible Prop	5
SAFE DEPOSIT BOXES & SAFEKEEPING			MS18	Suspense Liabilities	5
SD01	Safe Deposit Box Contents	2	MS19	Layaway Deposits & Payments	3
SD02	Safekeeping/Loan Collateral	5	MS20	Rents	5
SD03	Other Tangible Property	5	MS99	Aggregate Misc Checks & Intangible Personal Property < \$50	
SD99	Aggregate Safe Deposit Boxes & Safekeeping Items < \$50		SECURITIES		
COURT DEPOSITS			SC01	Dividends	3
CT01	Escrow Funds	1	SC02	Interest (Bond Coupons)	3
CT02	Condemnation Awards	1	SC03	Principal Payments	3
CT03	Missing Heirs' Funds	1	SC04	Equity Payments	3
CT04	Suspense Accounts	1	SC05	Profits	3
CT05	Other Court Deposits	1	SC06	Funds Paid to Purchase Shares	3
CT06	Real Property Proceeds	#	SC07	Funds For Stocks & Bonds	3
CT07	Cash Bonds	1	SC08	Shares of Stock (Returned by Post Office)	3
CT08	Partial Payments	1	SC09	Cash for Fractional Shares	3
CT09	Judgments	1	SC10	Unexchanged Stock & Fractional Shares of Successor Corp	3
CT10	Trust Funds	1	SC11	Other Cert of Ownership	3
CT99	Aggregate Court Deposits < \$50	1	SC12	Underlying Shares or Other Outstanding Certificates	3
DEMUTUALIZATION			SC13	Funds for Liquidation Redemption of Surrendered Stocks or Bonds	3
DM01	Cash	3	SC14	Debentures	3
DM02	Stock	3	SC15	US Govt Securities	3
DM99	Aggregate Demutualization Property < \$50	3	SC16	Mutual Fund Shares	3
INSURANCE			SC17	Warrants (Rights)	3
IN01	Individual Policy Benefits or Claim Payments	5	SC18	Matured Bond Principal	3
IN02	Group Policy Benefits or Claim Benefits	5	SC19	Dividend Reinvestment Plans	3
IN03	Proceeds Due Beneficiaries	3	SC20	Credit Balances	3
IN04	Proceeds from Matured Policies Endowments or Annuities	3	SC99	Aggregate Security Related Cash < \$50	3
IN05	Premium Refunds	5	UTILITIES		
IN06	Unidentified Remittances	5	UT01	Utility Deposits	1
IN07	Other Amounts Due Under Policy Terms	5	UT02	Membership Fees	1
IN08	Agent Credit Balances	5	UT03	Refunds or Rebates	1
IN99	Aggregate Insurance Property < \$50		UT04	Capital Credit Distributions	3
TRUST, INVESTMENT AND ESCROW ACCOUNTS			UT99	Aggregate Utility Property < \$50	
TR01	Paying Agent Accounts	5	MISCELLANEOUS		
TR02	Undelivered/Uncashed Dividends	5	ZZZZ	Properties Not Identified Above	5
TR03	Funds held in Fiduciary Capacity	5			
TR04	Escrow Accounts	5			
TR05	Trust Vouchers	5			
TR99	Aggregate Trust Property < \$50	5			

* **NOTE: The Dormant Period for property held by a court, government, governmental subdivision, agency, or instrumentality is 1 year. This would include local and/or state government.**

Under Court Supervision

*** 60% of the unredeemed portion of the face value if an expiration period is displayed on the certificate. Gift certificates with no expiration date, or a statement that the expiration date does not apply in North Carolina, do not escheat.

^ If as a result of retail business transaction, 3 year dormancy period; otherwise, 5 year dormancy period.